

# Non-Uniform Complexity

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# Boolean Circuits

- A Boolean Circuit is a natural model of *nonuniform* computation, a generalization of hardware computational methods.
- A non-uniform computational model allows us to use a different “algorithm” to be used for every input size, in contrast to the standard (or *uniform*) Turing Machine model, where the same T.M. is used on (infinitely many) input sizes.
- Each circuit can be used for a fixed input size, which limits or model.

## Definition (Boolean circuits)

For every  $n \in \mathbb{N}$  an  $n$ -input, single output Boolean Circuit  $C$  is a directed acyclic graph with  $n$  sources and *one* sink.

- All nonsource vertices are called *gates* and are labeled with one of  $\wedge$  (and),  $\vee$  (or) or  $\neg$  (not).
- The vertices labeled with  $\wedge$  and  $\vee$  have *fan-in* (i.e. number or incoming edges) 2.
- The vertices labeled with  $\neg$  have *fan-in* 1.
- The *size* of  $C$ , denoted by  $|C|$ , is the number of vertices in it.
- For every vertex  $v$  of  $C$ , we assign a value as follows: for some input  $x \in \{0, 1\}^n$ , if  $v$  is the  $i$ -th input vertex then  $val(v) = x_i$ , and otherwise  $val(v)$  is defined recursively by applying  $v$ 's logical operation on the values of the vertices connected to  $v$ .
- The *output*  $C(x)$  is the value of the output vertex.
- The *depth* of  $C$  is the length of the longest directed path from an input node to the output node.

- To overcome the fixed input length size, we need to allow families (or sequences) of circuits to be used:

### Definition

Let  $T : \mathbb{N} \rightarrow \mathbb{N}$  be a function. A  $T(n)$ -size circuit family is a sequence  $\{C_n\}_{n \in \mathbb{N}}$  of Boolean circuits, where  $C_n$  has  $n$  inputs and a single output, and its size  $|C_n| \leq T(n)$  for every  $n$ .

- These infinite families of circuits are defined arbitrarily: There is **no** pre-defined connection between the circuits, and also we haven't any "guarantee" that we can construct them efficiently.
- Like each new computational model, we can define a complexity class on it by imposing some restriction on a *complexity measure*:

## Definition

We say that a language  $L$  is in **SIZE**( $T(n)$ ) if there is a  $T(n)$ -size circuit family  $\{C_n\}_{n \in \mathbb{N}}$ , such that  $\forall x \in \{0, 1\}^n$ :

$$x \in L \Leftrightarrow C_n(x) = 1$$

## Definition

**P**<sub>/poly</sub> is the class of languages that are decidable by polynomial size circuits families. That is,

$$\mathbf{P}_{/\text{poly}} = \bigcup_{c \in \mathbb{N}} \mathbf{SIZE}(n^c)$$

## Theorem (Nonuniform Hierarchy Theorem)

For every functions  $T, T' : \mathbb{N} \rightarrow \mathbb{N}$  with  $\frac{2^n}{n} > T'(n) > 10T(n) > n$ ,

$$\mathbf{SIZE}(T(n)) \subsetneq \mathbf{SIZE}(T'(n))$$

# Turing Machines that take advice

## Definition

Let  $T, \alpha : \mathbb{N} \rightarrow \mathbb{N}$ . The class of languages decidable by  $T(n)$ -time Turing Machines with  $a(n)$  bits of advice, denoted

$$\mathbf{DTIME}(T(n)/a(n))$$

contains every language  $L$  such that there exists a sequence  $\{a_n\}_{n \in \mathbb{N}}$  of strings, with  $a_n \in \{0, 1\}^{a(n)}$  and a Turing Machine  $M$  satisfying:

$$x \in L \Leftrightarrow M(x, a_n) = 1$$

for every  $x \in \{0, 1\}^n$ , where on input  $(x, a_n)$  the machine  $M$  runs for at most  $\mathcal{O}(T(n))$  steps.

# Turing Machines that take advice

Theorem (Alternative Definition of  $P_{/poly}$ )

$$P_{/poly} = \bigcup_{c,d \in \mathbb{N}} \text{DTIME}(n^c/n^d)$$

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**Proof:** ( $\subseteq$ ) Let  $L \in \mathbf{P}_{/poly}$ . Then,  $\exists \{C_n\}_{n \in \mathbb{N}} : C_{|x|} = L(x)$ .  
We can use  $C_n$ 's encoding as an advice string for each  $n$ .

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( $\supseteq$ ) Let  $L \in \mathbf{DTIME}(n^c)/n^d$ . Then, since CVP is  $\mathbf{P}$ -complete, we construct for every  $n$  a circuit  $D_n$  such that, for  $x \in \{0, 1\}^n, a_n \in \{0, 1\}^{a(n)}$ :

$$D_n(x, a_n) = M(x, a_n)$$

Then, let  $C_n(x) = D_n(x, a_n)$  (**We hard-wire the advice string!**)

Since  $a(n) = n^d$ , the circuits have polynomial size.  $\square$ .

## Theorem

$$\mathbf{P} \subsetneq \mathbf{P}/\text{poly}$$

- For “ $\subseteq$ ”, recall that CVP is **P**-complete.
- **But why proper inclusion?**
- Consider the following language:

$$U = \{1^n \mid n\text{'s binary expression encodes a pair } \langle M, x \rangle \text{ s.t. } M(x) \downarrow\}$$

- It is easy to see that  $U \in \mathbf{P}/\text{poly}$ , but....

## Theorem (Karp-Lipton Theorem)

If  $\mathbf{NP} \subseteq \mathbf{P}/\text{poly}$ , then  $\mathbf{PH} = \Sigma_2^P$ .

## Theorem (Meyer's Theorem)

If  $\mathbf{EXP} \subseteq \mathbf{P}/\text{poly}$ , then  $\mathbf{EXP} = \Sigma_2^P$ .

# Uniform Families of Circuits

- We saw that  $\mathbf{P}_{/poly}$  contains an undecidable language.
- The root of this problem lies in the “weak” definition of such families, since it suffices that  $\exists$  a circuit family for  $L$ .
- We haven’t a way (or an algorithm) to construct such a family.
- So, may be useful to restric or attention to families we can construct efficiently:

## Theorem (P-Uniform Families)

*A circuit family  $\{C_n\}_{n \in \mathbb{N}}$  is  $\mathbf{P}$ -uniform if there is a polynomial-time T.M. that on input  $1^n$  outputs the description of the circuit  $C_n$ .*

- But...

## Theorem

*A language  $L$  is computable by a  $\mathbf{P}$ -uniform circuit family iff  $L \in \mathbf{P}$ .*

## Theorem

$$\mathbf{BPP} \subset \mathbf{P}_{/\text{poly}}$$

**Proof:** Recall that if  $L \in \mathbf{BPP}$ , then  $\exists$  PTM  $M$  such that:

$$\Pr_{r \in \{0,1\}^{\text{poly}(n)}} [M(x, r) \neq L(x)] < 2^{-n}$$

Then, taking the union bound:

$$\begin{aligned} \Pr[\exists x \in \{0,1\}^n : M(x, r) \neq L(x)] &= \Pr \left[ \bigcup_{x \in \{0,1\}^n} M(x, r) \neq L(x) \right] \leq \\ &\leq \sum_{x \in \{0,1\}^n} \Pr[M(x, r) \neq L(x)] < 2^{-n} + \dots + 2^{-n} = 1 \end{aligned}$$

So,  $\exists r_n \in \{0,1\}^{\text{poly}(n)}$ , s.t.  $\forall x \in \{0,1\}^n: M(x, r_n) = L(x)$ .

Using  $\{r_n\}_{n \in \mathbb{N}}$  as advice string, we have the non-uniform machine. □

### Definition (Circuit Complexity or Worst-Case Hardness)

For a finite Boolean Function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , we define the (circuit) *complexity* of  $f$  as the size of the smallest Boolean Circuit computing  $f$  (that is,  $C(x) = f(x), \forall x \in \{0, 1\}^n$ ).

### Definition (Average-Case Hardness)

The minimum  $S$  such that there is a circuit  $C$  of size  $S$  such that:

$$\Pr [C(x) = f(x)] \geq \frac{1}{2} + \frac{1}{S}$$

is called the (average-case) hardness of  $f$ .

# Hierarchies for Semantic Classes with advice

- We have argued why we can't obtain Hierarchies for semantic measures using classical diagonalization techniques. But using small advice we can have the following results:

Theorem ([Bar02], [GST04])

For  $a, b \in \mathbb{R}$ , with  $1 \leq a < b$ :

$$\mathbf{BPTIME}(n^a)/1 \not\subseteq \mathbf{BPTIME}(n^b)/1$$

Theorem ([FST05])

For any  $1 \leq a \in \mathbb{R}$  there is a real  $b > a$  such that:

$$\mathbf{RTIME}(n^b)/1 \not\subseteq \mathbf{RTIME}(n^a)/\log(n)^{1/2a}$$

# Circuit Lower Bounds

- The significance of proving lower bounds for this computational model is related to the famous "**P** vs **NP**" problem, since:

$$\mathbf{NP} \setminus \mathbf{P}_{/poly} \neq \emptyset \Rightarrow \mathbf{P} \neq \mathbf{NP}$$

- But...after decades of efforts, The best lower bound for an **NP** language is  $5n - o(n)$ , proved very recently (2005).
- There are better lower bounds for some special cases, i.e. some restricted classes of circuits, such as: bounded depth circuits, monotone circuits, and bounded depth circuits with "counting" gates.

## Definition

Let  $PAR : \{0, 1\}^n \rightarrow \{0, 1\}$  be the *parity* function, which outputs the modulo 2 sum of an  $n$ -bit input. That is:

$$PAR(x_1, \dots, x_n) \equiv \sum_{i=1}^n x_i \pmod{2}$$

## Theorem

*For all constant  $d$ ,  $PAR$  has no polynomial-size circuit of depth  $d$ .*

- The above result (improved by Håstad and Yao) gives a relatively tight lower bound of  $\exp(\Omega(n^{1/(d-1)}))$ , on the size of  $n$ -input  $PAR$  circuits of depth  $d$ .

## Definition

For  $x, y \in \{0, 1\}^n$ , we denote  $x \preceq y$  if every bit that is 1 in  $x$  is also 1 in  $y$ . A function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is *monotone* if  $f(x) \leq f(y)$  for every  $x \preceq y$ .

## Definition

A Boolean Circuit is *monotone* if it contains only AND and OR gates, and no NOT gates. Such a circuit can only compute monotone functions.

## Theorem (Monotone Circuit Lower Bound for CLIQUE)

Denote by  $CLIQUE_{k,n} : \{0, 1\}^{\binom{n}{2}} \rightarrow \{0, 1\}$  the function that on input an adjacency matrix of an  $n$ -vertex graph  $G$  outputs 1 iff  $G$  contains a  $k$ -clique. There exists some constant  $\epsilon > 0$  such that for every  $k \leq n^{1/4}$ , there is no monotone circuit of size less than  $2^{\epsilon\sqrt{k}}$  that computes  $CLIQUE_{k,n}$ .

- So, we proved a significant lower bound ( $2^{\Omega(n^{1/8})}$ )
- The significance of the above theorem lies on the fact that there was some alleged connection between monotone and non-monotone circuit complexity (e.g. that they would be polynomially related). Unfortunately, Éva Tardos proved in 1988 that the gap between the two complexities is exponential.
- Where is the problem finally?  
Today, we know *that a result for a lower bound using such techniques would imply the inversion of strong one-way functions:*

# \*Natural Proofs [Razborov, Rudich 1994]

## Definition

Let  $\mathcal{P}$  be the predicate:

*"A Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  doesn't have  $n^c$ -sized circuits for some  $c \geq 1$ ."*

$\mathcal{P}(f) = 0, \forall f \in \mathbf{SIZE}(n^c)$  for a  $c \geq 1$ . We call this  $n^c$ -usefulness.

A predicate  $\mathcal{P}$  is natural if:

- There is an algorithm  $M \in \mathbf{E}$  such that for a function  $g : \{0, 1\}^n \rightarrow \{0, 1\}$ :  $M(g) = \mathcal{P}(g)$ .
- For a random function  $g$ :  $\Pr[\mathcal{P}(g) = 1] \geq \frac{1}{n}$

## Theorem

*If strong one-way functions exist, then there exists a constant  $c \in \mathbb{N}$  such that there is no  $n^c$ -useful natural predicate  $\mathcal{P}$ .*

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# Thank You!